# Spectral reflectance indices as proxies for yield potential and heat stress tolerance in spring wheat: heritability estimates and marker-trait associations

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**Abstract** The application of spectral reflectance indices (SRIs) as proxies to screen for yield potential (YP) and heat stress (HS) is emerging in crop breeding programs. Thus, a comparison of SRIs and their associations with grain yield (GY) under YP and HS conditions is important. In this study, we assessed the usefulness of 27 SRIs for indirect selection for agronomic traits by evaluating an elite spring wheat association mapping initiative (WAMI) population comprising 287 elite lines under YP and HS conditions. Genetic and phenotypic analysis identified 11 and 9 SRIs in different developmental stages as efficient indirect selection indices for yield in YP and HS conditions, respectively. We identified enhanced vegetation index (EVI) as the common SRI associated with GY under YP at booting, heading and late heading stages, whereas photochemical reflectance index (PRI) and normalized difference vegetation index (NDVI) were the common SRIs under booting and heading stages in HS. Genomewide association study (GWAS) using 18704 single nucleotide polymorphisms (SNPs) from Illumina iSelect 90K identified 280 and 43 marker-trait associations for efficient SRIs at different developmental stages under YP and HS, respectively. Common genomic regions for multiple SRIs were identified in 14 regions in 9 chromosomes: 1B (60-62 cM), 3A (15, 85-90, 101-105 cM), 3B (132–134 cM), 4A (47–51 cM), 4B (71– 75 cM), 5A (43–49, 56–60, 89–93 cM), 5B (124–125 cM), 6A (80–85 cM), and 6B (57–59, 71 cM). Among them, SNPs in chromosome 5A (89–93 cM) and 6A (80–85 cM) were co-located for yield and yield related traits. Overall, this study highlights the utility of SRIs as proxies for GY under YP and HS. High heritability estimates and identification of marker-trait associations indicate that

SRIs are useful tools for understanding the genetic basis of agronomic and physiological traits.

**Keywords** genome-wide association study (GWAS), heat tolerance, spectral reflectance, spring wheat

# 1 Introduction

Bread wheat (*Triticum aestivum* L.) is one of the staple food crops in the world providing 20% of the proteins and calories to the world population; increasing grain yield (GY) of spring wheat is therefore important for world food security<sup>[1]</sup>. Short episodes of extreme heat are expected to become more frequent, which could have adverse impacts on yield<sup>[2]</sup>. Conventional breeding based on the selection of yield per se has been very efficient in raising wheat production under irrigated conditions in the past<sup>[3]</sup>. However, the estimation of GY requires the harvest of the experimental plots, which is expensive and timeconsuming at a breeding scale<sup>[4]</sup>. The identification of proxies for GY in early stages of crop growth can increase the efficiency of selection and reduce the number of plots harvested<sup>[5]</sup>. Use of morphological and physiological traits as indirect selection criteria for GY is an alternative breeding approach<sup>[6]</sup>. In recent years, spectroradiometry has offered a quantum leap forward in the efficiency of screening physiological traits that have been typically laborious and time-consuming[7-9]. The prospect of future genetic improvements using spectral reflectance to identify and track physiological traits, offers crop breeding programs new opportunities to increase yield potential (YP) and to improve wheat to abiotic stresses such as heat stress (HS)<sup>[10,11]</sup>

The spectral reflectance of a canopy is directly linked to a plant's biophysical and biochemical properties, which

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determine absorption and reflection patterns at specific wavelengths<sup>[12]</sup>. Pigments in leaves absorb light strongly in the visible spectrum (VIS, 380–740 nm) rather than the near-infrared region (NIR, 740–2500 nm)<sup>[10]</sup>, resulting in a different reflection of radiation in the two regions. Spectral reflectance indices (SRIs) represent the simplest way to obtain information from spectral reflectance measurements and were developed using simple mathematical formulae such as ratios or differences between reflectances observed at different wavelengths. The simple ratio (SR) index<sup>[13]</sup> and the normalized difference vegetation index (NDVI)[14] were the first SRIs to be described and are commonly used to predict green biomass and green leaf area index. Some SRIs use only reflectance at the VIS wavelentghs, such as the photochemical reflectance index (PRI) which is used to assess radiation-use efficiency<sup>[15]</sup>; or only NIR, such as the water index (WI) that is related to water status of the canopy<sup>[16,17]</sup>. SRIs have been widely used in assessing different physiological conditions of the canopy such as the estimation of total dry matter, leaf area index, photosynthetic capacity, and pigment concentration<sup>[18–21]</sup>. GY has also been frequently estimated using SRIs measured at different growth stages of the wheat crop under irrigated and water-limited environments<sup>[22–26]</sup>.

SRIs may be related to complex quantitative traits controlled by multiple genetic factors. Recent studies identified the genomic regions for several SRIs under fully irrigated, rainfed, and drought-stressed environments in bread wheat<sup>[27,28]</sup>. However, little is known about the genomic regions associated with SRIs in spring wheat under HS. In the present study, a spring wheat association mapping initiative (WAMI) panel was phenotyped under YP and HS conditions. The objectives of this study were to (1) identify efficient SRIs as proxies for GY under YP and HS environments, and (2) identify the genomic regions associated with SRIs for YP and HS through genome-wide association study (GWAS).

# 2 Materials and methods

# 2.1 Germplasm, genotyping, and population structure

The WAMI panel consisting of 287 elite spring wheat lines was assembled at the International Maize and Wheat Improvement Center (CIMMYT) for dissecting the genetic basis of complex traits. This is a collection of elite lines assembled from the international nurseries that CIMMYT distributes to developing countries through the International Wheat Improvement Network (IWIN). The material was genotyped using the Illumina iSelect 90K single nucleotide polymorphism (SNP) array. A total of 21871 assays showed three distinct clusters corresponding to the AA, AB, and BB genotypes expected for a biallelic SNP. Of the remaining assays with poorer cluster separation,

manual clustering was applied. Overall, 36133 of the 81587 functional SNPs visually revealed polymorphism among the WAMI population. We were able to locate 28614 (35.1%) of the SNPs on the published genetic map<sup>[29]</sup>. After removing SNPs with minor allele frequency < 5% and missing value > 10%, 18704 SNPs were used for GWAS. The population structure of the panel was associated with pedigree of the lines. A detailed description of the population structure, linkage disequilibrium, and minor allele frequency can be found in earlier studies of the WAMI population<sup>[30–32]</sup>.

#### 2.2 Field experiment and agronomic practices

Field trials were conducted during the 2015–2016 crop season under field conditions at Campo Experimental Norman E. Borlaug (CENEB), Ciudad Obregon, Yaqui Valley, Mexico (27°25' N, 109°54' W, 38masl). The WAMI population was phenotyped in a randomized lattice design with two replications under YP and HS. The YP environment was simulated by planting in late November and full irrigation (total water supply > 700 mm) was provided. HS was applied by late sowing (late February) with full irrigation (total water supply > 700 mm) to avoid the effect of drought; a typical method for applying HS at CENEB that has been demonstrated to be successful in generating germplasm for HS environments such as ME5<sup>[33]</sup>. Seeds were sown on raised beds 2 m long with two rows (25 cm between rows) and 80 cm between the beds. Appropriate fertilizers, pesticides, and insecticides were applied according to normal practices prevalent in this region.

#### 2.3 Phenotypic traits measurement

A high-resolution spectroradiometer (FieldSpec® Hand-Held 2, ASD Inc., Longmont, CO, USA) was used to measure the spectral reflectance of each plot. The HandHeld 2 is a hand-held spectro-radiometer that uses a FieldSpec 4 VNIR spectrometer for accurate analysis in the 325–1075 nm spectral range. To collect one spectral measurement, the sensor was located 50 cm over the canopy pointing in nadir position. A 12.7 cm  $\times$  12.7 cm calibrated white panel (99% Spectralon®, Labsphere Inc., North Sutton, NH, USA) was used for the estimation of the incoming radiation and the spectral reflectance. An average spectrum per plot was estimated using four measurements collected at different locations across the plot and avoiding the first 50 cm at each extreme of the plot. The spectral measurements were conducted at 11:00–14:00 to avoid the possibility of confounding effects from variations in solar radiation. The spectral measurements were conducted at booting (Zadok 50), heading (Zadok 60), and 7 days after heading (heading plus 7 days, H7) stages in the YP environment, while in HS they were conducted at booting

(Zadok 50) and heading (Zadok 60) stages. Several SRIs were derived from the collected data. The names, formulas and references can be found in Table 1.

In addition to SRIs, the following agronomic traits were measured: GY ( $g \cdot m^{-2}$ ), thousand-grain weight (TGW, g), grain number [GN, estimated as GY ( $g \cdot m^{-2}$ )/TGW (g) × 1000], days to heading (DTH, i.e., the number of days from emergence when 50% of the spikes had emerged); days to maturity (DTM, i.e., the number of days from emergence to when the kernel became hard); plant height (PH, cm,

from the soil surface to the tip of the spike without awns).

### 2.4 Statistical analysis

The best linear unbiased prediction (BLUP) values for agronomic traits and SRIs were calculated using META- $R^{[50]}$ . Correlations between the traits were estimated using SAS v9.1 (SAS Institute, Cary, CA, USA). Broad sense heritability ( $H^2$ ) was estimated as:

Table 1 SRIs measured on the WAMI population under YP and HS environments in Ciudad Obregon, Mexico during the 2015–2016 growing

season					
SRIs	Equation				
Vegetation indices					
Simple ratio (SR)	$R_{ m NIR}/R_{ m RED}^{[34]}$				
Normalized difference vegetation index_670 (NDVI_670)	$(R_{780} - R_{670})/(R_{780} + R_{670})^{[14]}$				
Normalized difference vegetation index_670 (NDVI_705)	$(R_{750} - R_{705})/(R_{750} + R_{705})^{[37]}$				
Enhanced vegetation index (EVI)	$2.5(R_{NIR} - R_{RED})/(R_{NIR} + 6R_{RED} - 7.5R_{BLUE} + 1)^{[38]}$				
MERIS terrestrial chlorophyll index 1 (MTCI1)	$(R_{754} - R_{709})/(R_{709} - R_{681})^{[39]}$				
MERIS terrestrial chlorophyll index 2 (MTCI2)	$(R_{NIR} - R_{748})/(R_{748} - R_{RED})^{[39]}$				
Optimized soil adjusted vegetation index (OSAVI)	$(R_{NIR} - R_{RED})/(R_{NIR} + R_{RED} + 0.16)^{[42]}$				
Fransformed chlorophyll absorption in reflectance index (TCARI)	$3((R_{700}-R_{670})-0.2(R_{700}-R_{550})\;(R_{700}/R_{670}))^{[43]}$				
Water indices					
Water index (WI)	$R_{970}/R_{900}^{[45]}$				
Normalized water index_1 (NWI_1)	$(R_{970} - R_{900})/(R_{970} + R_{900})^{[23]}$				
Normalized water index_2 (NWI_2)	$(R_{970} - R_{850})/(R_{970} + R_{850})^{[23]}$				
Normalized water index_3 (NWI_3)	$(R_{970} - R_{880})/(R_{970} + R_{880})^{[47]}$				
Normalized water index_4 (NWI_4)	$(R_{970} - R_{920})/(R_{970} + R_{920})^{[47]}$				
Red edge indices					
Normalized phaeophytinization index (NPQI)	$(R_{415} - R_{435})/(R_{415} + R_{435})^{[49]}$				
Chlorophyll indices					
Chlorophyll ratio (AB ratio)	RARSa/RARSb <sup>[35]</sup>				
Ratio analysis of reflectance spectra for chlorophyll a (RARSa)	$R_{675}/R_{700}^{[36]}$				
Ratio analysis of reflectance spectra for chlorophyll b (RARSb)	$(R_{675}/R_{650} \times R_{700})^{[36]}$				
Ratio analysis of reflectance spectra for carotenoids (RARSc)	$R_{760}/R_{500}^{[36]}$				
Pigment specific simple ratio a (PSSRa)	$R_{800}/R_{680}^{0000000000000000000000000000000000$				
Anthocyanin reflectance index 1 (ARI1)	$1/R_{550} - 1/R_{700}^{[41]}$				
Anthocyanin reflectance index 2 (ARI2)	$R_{800}((1/R_{550}) - (1/R_{700}))^{[41]}$				
Carotenoid reflectance index 1 (CRI1)	$1/R_{510} - 1/R_{550}^{[44]}$				
Carotenoid reflectance index 2 (CRI2)	$1/R_{510} - 1/R_{700}^{[44]}$				
Caroenoide	-10				
Normalized difference pigment index (NDPI)	$(R_{680} - R_{430})/(R_{680} + R_{430})^{[45]}$				
Plant senescence reflectance index (PSRI)	$(R_{680} - R_{500})/R_{750}$ <sup>[46]</sup>				
Structure insensitive pigment index 2 (SIPI2)	$(R_{800} - R_{445})/(R_{800} + R_{680})^{[48]}$				
Radiation use efficiency	( 333 .42) ( 330 . 330)				
Photochemical reflectance index (PRI)	$(R_{531} - R_{570})/(R_{531} + R_{570})^{[15]}$				

Note: SRIs, spectral reflectance indices; WAMI, wheat association mapping initiative; YP, yield potential; HS, heat stress; R<sub>NIR</sub>, average reflectance between 780 and 900 nm; R<sub>RED</sub>, average reflectance between 670 and 690 nm; R<sub>RIJE</sub>, average reflectance between 459 and 479 nm.

$$H^2 = \frac{\sigma_g^2}{\sigma_g^2 + \frac{\sigma_e^2}{r}}$$

where  $\sigma_g^2$  and  $\sigma_e^2$  were genotype and error variance, and r was the number of replications.

For the selection of efficient SRIs that could predict GY, two steps of analysis were conducted: (1) to identify the SRIs that were significantly correlated with GY (P < 0.01) at different crop stages; (2) to remove redundant SRIs based on correlations and only keeping those with relative highest  $H^2$  in the same correlation cluster.

#### 2.5 Marker trait associations (MTAs)

GWAS analysis was performed in TASSEL v5.0 software using the generalized linear model and mixed linear model [51]. Models were compared by fitting simple model, familial relatedness matrix (K) as random factor, population structure  $(Q_1 - Q_{10})$  from STRUCTURE, principal components  $(PC_1 - PC_{10})$ ,  $(Q_1 - Q_{10}) + K$ , and  $(PC_1 - PC_{10}) + K$ , and coefficient of parentage as a random factor in combination with the PC and Q matrix and observing the Q-Q plots of *P*-values. Manhattan plots were created using the 'qqman' package in  $R^{[52]}$ . Once the MTAs were identified, comparisons were made between the traits and also with previously detected MTAs in the WAMI population [30–32].

## 3 Results

## 3.1 Phenotypic variation and broad-sense heritability

Agronomic traits showed a substantial reduction in trait values under HS compared to YP. GY, TGW, and GN decreased by 31%, 17%, and 17%, respectively. The length of the growth cycle also decreased under HS compared to YP, where the average DTH and DTM were shortened by 14 and 38 days, respectively. PH reduced by 16 cm on population average under HS (Table S1). Similar to the results found with agronomic traits, the values of the SRIs decreased in HS compared to YP, while the water indices (WI, NWI\_1, NWI\_2, NWI\_3, and NWI\_4), TCARI (transformed chlorophyll absorption in reflectance index), AB ratio (Chlorophyll ratio), ARI1 (anthocyanin reflectance index 1), ARI2, and NDPI (normalized difference pigment index) increased under HS, compared to YP (Table 2).

Under YP, the SRIs related to light use efficiency (e.g., PRI, SIPI2 (structure insensitive pigment index 2)) showed an increasing trend from booting to late heading (H7), whereas SRIs related to vegetation (SR, NDVI\_670, NDVI\_705, and MTCI1 (MERIS terrestrial chlorophyll index 1)) and water status (WI, NWI\_1, NWI\_2, NWI\_3, and NWI\_4) showed a decreasing trend during the same period. Under HS, the SRIs related to chlorophyll (RARSa

(ratio analysis of reflectance spectra for chlorophyll a), RARSb, ARI1, and ARI2) and carotenoid (NDPI and PSRI (plant senescence reflectance index)) content increased from booting to the heading stage, and SRIs related to vegetation (SR, NDVI\_670, NDVI\_705, EVI (enhanced vegetation index), MTCI1, OSAVI (optimized soil adjusted vegetation index), and TCARI) decreased during the same period, while indices related to water status (WI, NWI\_1, and NWI\_4) did not change much between the two stages. Most of the SRIs had similar trends under YP and HS, while TCARI, PRI, NWI\_2, NWI\_3, and RARSb showed contrasting patterns between the two environments.

The highest  $H^2$  for most of the SRIs were observed at the heading stage under YP. Under HS, moderate to high  $H^2$  was observed at both booting and heading stage (Table 2).

#### 3.2 Correlations

Under YP, half of the studied SRIs showed significant correlations with GY at booting and heading, whereas only four SRIs showed good correlations at late heading (*P* < 0.01, Table 2). EVI, OSAVI, and ARI1 were correlated with GY across the three measured stages. Under HS, eight and 19 SRIs were correlated significantly with GY at booting and heading stage, respectively. NDVI\_705, MTCI1, WI, NWI\_1, NWI\_2, NWI\_3, NWI\_4, and PRI were correlated with GY at both stages.

Significant correlations between the SRIs were observed in both YP and HS environments (Table S2). Most of the high correlations were observed in the same SRIs group (e.g., NDVI\_670, and NDVI\_705; WI, NWI\_1, NWI\_2, NWI\_3, and NWI\_4), while some strong correlations were found between SRIs from different groups (e.g., SR with RARSc; SIPI2 with NDVI\_670; and PRI with NDQI).

## 3.3 Efficient SRIs for indirect selection of GY

According to the heritability estimates and correlations, several efficient SRIs were identified as indirect indices for GY at different growth stages: under YP, EVI was an efficient selection index from booting until late heading stage; NDPI, NPQI (normalized phaeophytinization index), PRI, ARI2, MTCI4, and NWI 1 were efficient SRIs at both booting and heading stages; NDVI 705 was efficient at booting stage; CRI2 (carotenoid reflectance index 2) was efficient from heading to late heading; while ARI1 and OSAVI were more efficient after heading (Fig. 1(a)). Under HS, NDVI 705 and PRI were efficient in predicting GY at both booting and heading stages; while NW1 2 was efficient only at the booting stage, and RARSb, ARI2, AB ratio, PSSRa (pigment specific simple ratio a), MTCI2, and WI were efficient at the heading stage (Fig. 1(b)). NDVI 705 and PRI were common efficient SRIs for YP and HS, while RARSb, AB ratio, PSSRa, and WI were specific SRIs for HS.

**Table 2** Mean values, broad sense heritability ( $H^2$ ), and correlation coefficients (r) of SRIs with GY of the WAMI population under YP and HS environments in Ciudad Obregon, Mexico during the 2015–2016 growing season

YP					HS										
SRIs	Booting		Heading		Heading + 7 days			Booting		Heading					
	Mean	$H^2$	r	Mean	$H^2$	r	Mean	$H^2$	r	Mean	$H^2$	r	Mean	$H^2$	r
SR	25.84	0.45	0.01	23.06	0.63	-0.11	16.86	0.11	-0.02	19.83	0.68	0.18	14.39	0.77	0.29**
NDVI_670	0.92	0.07	0.13	0.91	0.70	-0.11	0.89	0.47	0.06	0.90	0.71	0.18	0.87	0.78	0.30**
NDVI_705	0.74	0.32	0.25**	0.73	0.54	0.09	0.70	0.34	0.19	0.66	0.69	0.26**	0.65	0.74	0.39**
EVI	0.66	0.26	0.23**	0.68	0.58	0.15**	0.61	0.34	0.23**	0.65	0.77	0.14	0.58	0.64	0.21
MTCI1	3.67	0.52	0.18	3.55	0.54	0.04	2.91	0.02	0.09	2.56	0.67	0.24**	2.36	0.73	0.38**
MTCI2	0.23	0.52	0.36**	0.27	0.45	0.26**	0.28	0.21	0.21	0.19	0.72	0.08	0.25	0.73	0.22**
OSAVI	0.70	0.07	0.28**	0.71	0.54	0.22**	0.68	0.40	0.30**	0.70	0.77	0.14	0.67	0.63	0.21
TCARI	0.08	0.50	-0.08	0.08	0.54	-0.03	0.10	0.04	0.07	0.13	0.84	-0.08	0.10	0.48	-0.19
WI	0.86	0.21	-0.23**	0.84	0.48	-0.22**	0.80	0.04	-0.13	0.88	0.54	-0.30**	0.88	0.63	-0.42**
NWI_1	-0.08	0.22	-0.23**	-0.09	0.49	-0.22**	-0.09	0.01	-0.12	-0.07	0.54	-0.30**	-0.07	0.63	-0.42**
NWI_2	-0.07	0.07	-0.22**	-0.08	0.46	-0.24**	-0.08	0.03	-0.08	-0.06	0.63	-0.34**	-0.05	0.58	-0.43**
NWI_3	-0.07	0.09	-0.23**	-0.09	0.48	-0.24**	-0.09	0.06	-0.07	-0.07	0.52	-0.30**	-0.06	0.57	-0.42**
NWI_4	-0.07	0.22	-0.22**	-0.08	0.50	-0.21	-0.08	0.08	-0.08	-0.06	0.55	-0.30**	-0.06	0.62	-0.41**
AB ratio	0.02	0.45	0.02	0.03	0.68	0.20	0.06	0.01	0.08	0.03	0.69	-0.16	0.04	0.52	-0.28**
PSSRa	25.42	0.45	0.21	22.87	0.62	0.30**	17.11	0.11	0.17	20.10	0.68	-0.01	14.67	0.78	-0.07
RARSa	0.45	0.43	0.06	0.49	0.85	-0.09	0.52	0.22	-0.09	0.39	0.87	0.11	0.51	0.87	0.22**
RARSb	20.59	0.58	-0.05	18.30	0.59	-0.15	16.23	0.03	-0.06	13.02	0.75	0.15	13.63	0.43	0.22**
RARSc	21.51	0.51	0.02	19.77	0.74	-0.11	15.77	0.18	-0.01	16.85	0.63	0.16	13.91	0.76	0.29**
ARI1	-0.81	0.38	-0.35**	-0.43	0.71	-0.22**	0.34	0.56	-0.23**	-0.34	0.80	-0.18	0.34	0.75	-0.21
ARI2	-0.41	0.50	-0.39**	-0.24	0.76	-0.26**	0.17	0.49	-0.18	-0.19	0.78	-0.18	0.16	0.76	-0.22**
CRI1	19.26	0.55	-0.16	14.70	0.80	-0.24**	13.39	0.41	-0.21	14.96	0.65	0.05	10.86	0.66	0.12
CRI2	18.45	0.59	-0.18	14.26	0.83	-0.25**	13.70	0.47	-0.23**	14.63	0.68	0.01	11.20	0.66	0.06
NPQI	-0.07	0.56	0.33**	-0.04	0.56	0.32**	-0.02	0.02	0.09	-0.09	0.62	0.02	-0.06	0.45	0.03
NDPI	-0.01	0.51	-0.42**	0.01	0.82	-0.30**	0.10	0.12	-0.02	0.02	0.82	-0.15	0.04	0.78	-0.21
PSRI	-0.005	0.04	-0.21	-0.005	0.86	-0.21	0.03	0.01	0.07	-0.01	0.83	-0.13	-0.001	0.81	-0.21
SIPI2	0.92	0.21	0.07	0.91	0.74	-0.15	0.90	0.49	0.01	0.90	0.67	0.15	0.88	0.76	0.27**
PRI	0.01	0.24	0.32**	0.01	0.53	0.24**	0.03	0.04	0.08	0.01	0.83	0.29**	0.001	0.80	0.30**

Note: SRIs, spectral reflectance indices; GY, grain yield; WAMI, wheat association mapping initiative; YP, yield potential; HS, heat stress; SR, simple ratio; NDVI, normalized difference vegetation index; EVI, enhanced vegetation index; MTCI, MERIS terrestrial chlorophyll index; OSAVI, optimized soil adjusted vegetation index; TCARI, transformed chlorophyll absorption in reflectance index; WI, water index; NWI, normalized water index; AB ratio, chlorophyll ratio; PSSR, pigment specific simple ratio; RARS, ratio analysis of reflectance spectra for chlorophyll; ARI, anthocyanin reflectance index; CRI, carotenoid reflectance index; NPQI, normalized phaeophytinization index; NDPI, normalized difference pigment index; PSRI, plant senescence reflectance index; SIPI, structure insensitive pigment index; PRI, photochemical reflectance index; \*\*, significant at P < 0.01.

# 3.4 Marker-trait associations for agronomic traits and efficient SRIs

For agronomic traits, significant SNPs associated with GY were located on chromosome 6A under YP, and on 2B and 3A under HS. SNPs associated with TGW were on 2B (YP) and 6A (HS), and with GN were on 1B (YP), 3A (YP), 1D (HS), and 6D (HS). For DTH, significant SNPs were on 1B, 2A, and 2B under YP, while under HS were located on 5A and 6D. Significant markers associated with DTM were on 2A, 3D, and 5D for YP, and on 1A, 2B, and 4B for HS. For PH, associated markers were on 3B and 3D

under YP, while on 5A and 6A under HS (Table S3).

For efficient SRIs, 280 SNPs located on chromosomes 1A, 1B, 2A, 2D, 3A, 3B, 4A, 4B, 5A, 5B, 6A, 6B, 6D, 7A, and 7B were identified under YP (Table S3). The largest number of associations were observed for NDPI at the booting stage (83 MTAs, Fig. 2(a)), followed by ARI2 (51 MTAs). Under HS, 43 markers located on chromosomes 1A, 1B, 2A, 2B, 3A, 3B, 4A, 5A, 5D, 6A, 6B, 7A, 8B, and 7D were identified for SRIs. The largest number of associations were observed for NWI\_2 at the booting stage (9 MTAs, Fig. 2(h)), followed by ARI2 at the heading stage (6 MTAs).

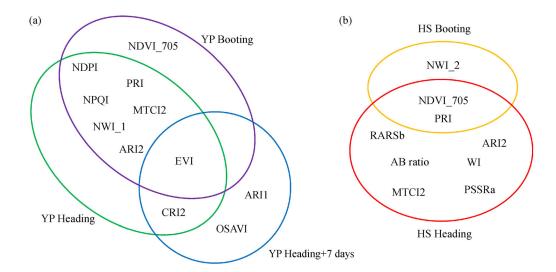


Fig. 1 Common and different spectral reflectance indices (SRIs) showed significant correlations with grain yield (GY) when measured at booting, heading, and heading plus 7 days stages under yield potential (YP) (a) and heat stress (HS) (b) environments in Cd. Obregon, Mexico during the 2015–2016 season. NDPI, normalized difference pigment index; PRI, photochemical reflectance index; NPQI, normalized phaeophytinization index; MTCI, MERIS terrestrial chlorophyll index; NWI, normalized water index; ARI, anthocyanin reflectance index; EVI, enhanced vegetation index; NDVI, normalized difference vegetation index; CRI, carotenoid reflectance index; OSAVI, optimized soil adjusted vegetation index; RARS, ratio analysis of reflectance spectra for chlorophyll; AB ratio, chlorophyll ratio; WI, water index; PSSR, pigment specific simple ratio.

# 3.5 Common genomic regions and markers for multiple traits

Most of the significant markers associated with efficient SRIs were clustered on 12 chromosomes: 1B, 2A, 3B, 4A, 4B, 5A, 5B, 6A, 6B, 7A, 7D, and especially 3A. Fourteen genomic regions on 1B (60–62 cM), 3A (15, 85–90, 101– 105 cM), 3B (132–134 cM), 4A (47–51 cM), 4B (71– 75 cM), 5A (43–49, 56–60, 89–93 cM), 5B (124–125 cM), 6A (80–85 cM), and 6B (57–59, 71 cM) were associated with multiple SRIs (Fig. 3). For example, the locus on 3A at 88-90 cM was associated with NDPI, ARI2 and PRI under YP. Among the markers in this region, 35 markers were common between NDPI and ARI2, three markers were common between NDPI and PRI, and one SNP (Kukri c25564 185) was associated with NDPI, ARI2 and PRI (Table 3). The locus on 3A at 101–105 cM (612– 618 Mb on physical map) was associated with NDPI, ARI2, and CRI2 under YP. Among the markers in this region, wsnp Ex c26887 36107413 was associated with ARI2 and CRI2, and BS00056089 51 was associated with NDPI, ARI2, and CRI2. Three markers on 4B at 71 cM (c. 548 Mb on the physical map) was associated with EVI and OSAVI. Four markers on 6B at 71 cM (c. 526 Mb on the physical map) was associated with NDVI 705 and AB ratio (Table 3).

On chromosome 6A, a region at 80–85 cM was associated with GY and PRI under YP, and with TGW, PH, and NWI\_2 under HS. At the *Vrn-1* locus on 5A at 89–93 cM, DTH, PH, ARI2 and PRI under HS were co-located (Fig. 3).

# 3.6 Comparison with the previously identified marker-trait associations on the WAMI population

The WAMI population has been widely used for association mapping studies. In the present study, the locus in chromosome 1B at 70 cM for GN was previously reported for peduncle length<sup>[31]</sup> and spike ethylene<sup>[53]</sup> (Table S4). The other locus in chromosome 1B at 148 cM for PH was earlier reported for harvest index and TGW[31,54]. The locus in 2A (143 cM) for EVI was co-located QTL for TGW<sup>[54]</sup>. The locus on 3B (61 cM) for PH was earlier identified for SPAD at the grain-filling stage<sup>[31]</sup>. On 4B, the locus at 66 cM for DTM has been reported for GY<sup>[54]</sup>, and the locus at 71 cM for EVI and OSAVI was reported for spike dry weight<sup>[53]</sup>. On 5A, the locus at 60 cM for PRI was previously reported for TGW<sup>[54]</sup>, while the locus at 90 cM was reported for DTH and flowering time<sup>[1,30,31]</sup> and was identified as the Vrn-1 locus. On 6A, the locus at 80 cM for TGW was reported to co-located with OTL for PH<sup>[31]</sup>, and the locus at 85 cM for GY was reported for GY, biomass, TGW, and SPAD at grain-filling [31,54]. The locus on 7A (35 cM) for NPQI was previously identified for  $GY^{[54]}$ 

# 4 Discussion

Identification of indirect selection indices for GY in target environments is important in wheat breeding programs with large sets of germplasm and genotypes. An efficient indirect index should be significantly correlated with GY,

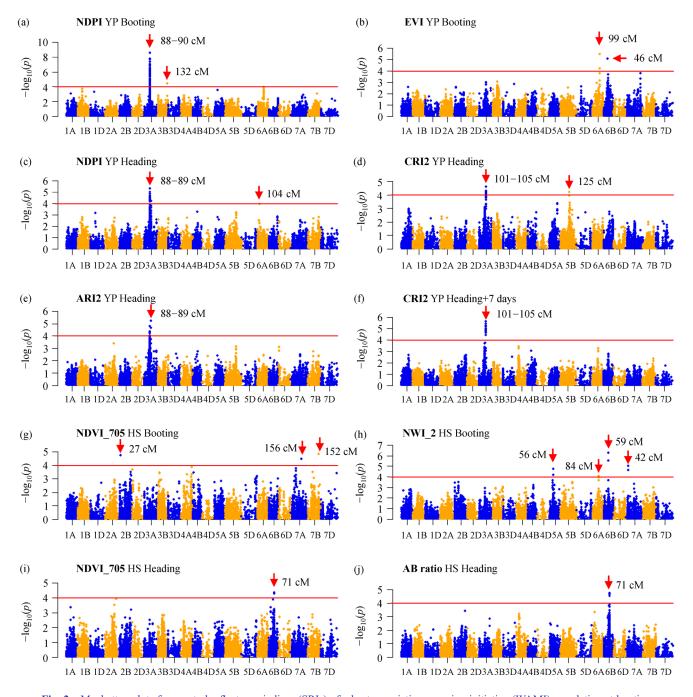


Fig. 2 Manhattan plots for spectral reflectance indices (SRIs) of wheat association mapping initiative (WAMI) population at booting, heading, and heading plus 7 days under yield potential (YP) ((a)–(f)) and heat stress (HS) ((g)–(j)) environments. NDPI, normalized difference pigment index; EVI, enhanced vegetation index; CRI, carotenoid reflectance index; ARI, anthocyanin reflectance index; NDVI, normalized difference vegetation index; NWI, normalized water index; AB ratio, chlorophyll ratio.

showing high heritability and genetic variability<sup>[55]</sup>. In the case of physiological traits, the phenological stage at which the measurements are taken also may affect the ability to predict GY. In the current study, most SRIs were associated with GY at booting and heading stages under YP, but high heritability estimates of SRIs was observed at the heading stage. Under HS, significant correlations and high heritability of SRIs were observed at both booting and heading

stages. These results suggest that the heading stage could be the most optimum stage to conduct the measurement of SRIs for early selection/prediction of GY under both conditions. Similar observations were also reported in irrigated spring wheat<sup>[23,56]</sup> and durum wheat<sup>[57,58]</sup>. In addition, we also identified several efficient SRIs at booting and late heading stages, which could be used for phenotyping large populations.

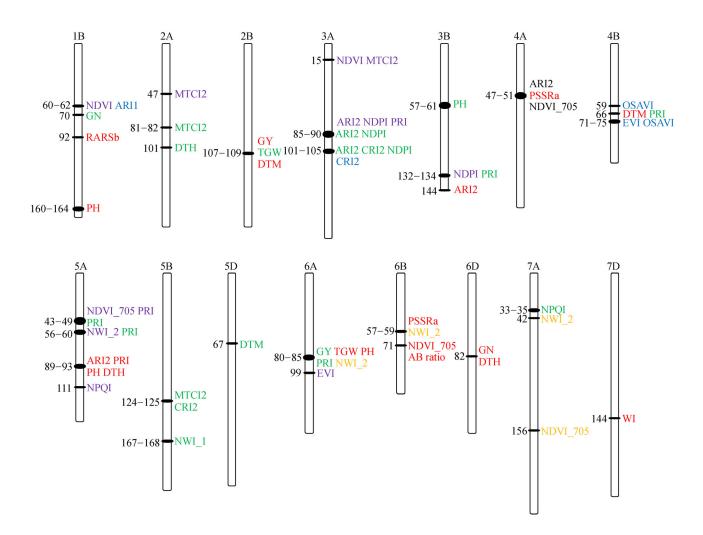


Fig. 3 Genomic regions associated with agronomic traits and spectral reflectance indices (SRIs) in the wheat association mapping initiative (WAMI) population at booting (purple), heading (green), and heading plus 7 days (blue) under yield potential (YP) and at booting (orange) and heading (red) stages under heat stress (HS) environments. NDVI, normalized difference vegetation index; ARI, anthocyanin reflectance index; GN, grain number; RARS, ratio analysis of reflectance spectra for chlorophyll; PH, plant height; MTCI, MERIS terrestrial chlorophyll index; DTH, days to heading; GY, grain yield; TGW, thousand-grain weight; DTM, days to maturity; NDPI, normalized difference pigment index; PRI, photochemical reflectance index; CRI, carotenoid reflectance index; PSSR, pigment specific simple ratio; OSAVI, optimized soil adjusted vegetation index; EVI, enhanced vegetation index; NWI, normalized water index; NPQI, normalized phaeophytinization index; AB ratio, chlorophyll ratio; WI, water index.

In the present study, common efficient SRIs such as NDVI\_705, PRI, and ARI2 were identified for both YP and HS conditions, highlighting their values as proxies for GY. The water indices (WI and NWI) also showed significant correlations with GY under YP and HS conditions. The water-based indices estimate water content of the canopy, and the higher associations between these indices and GY indicated that canopy water content plays a vital role in the productivity of a given genotype. Similar results were observed by Prasad et al. [56] under rain-fed conditions. Further, the simple ratio index (WI) and normalized indices (NWI\_1, NWI\_2, NWI\_3, and NWI\_4) of water status showed similar predictive ability for GY in this study, confirming the findings in previous studies of spring wheat [12,23,56].

To the best of our knowledge, this is the first study to report genomic regions associated with SRIs under HS. Recently, Gizaw et al.<sup>[27,28]</sup> identified the genomic regions for SRIs through GWAS in fully irrigated, rainfed, and drought-stressed environments in wheat. They reported that under irrigation, QTLs for NDVI were located on chromosome 3A, 3B, 4A, 5A, 5B, 7A, and 7B in winter wheat, and on 2B, 3B, 4B, 4D, 5B, and 7A in spring wheat. In contrast, in this study, we identified QTLs for NDVI\_705 on 1B, 3A, and 5A, which were far away from the QTLs reported by Gizaw et al.<sup>[27,28]</sup>. The QTLs for NWI\_1 under irrigation were reported on 6A, 6B<sup>[28]</sup>, and 2A, 5A, 5B<sup>[27]</sup> in winter and spring wheat, respectively; while QTLs for NWI\_1 were located at a different locus on 5B in this study. Previously QTLs for

Table 3 Significant (P < 0.0001) markers associated with multiple spectral reflectance indices of the WAMI population at booting (YPB), heading (YPH), and 7 days after heading (YPH7) under YP, and at booting (HSB), heading (HSH) under HS in Ciudad Obregon, Mexico during the 2015–2016 growing season

Marker	Chromosome	Position/cM	Associated traits
BS00075119_51	3A	15	NDVI_705 (YPB), MTCI2 (YPB)
BobWhite_c9468_453	3A	88	NDPI (YPB, YPH), ARI2 (YPB, YPH)
BobWhite_c9468_478	3A	88	NDPI (YPB, YPH), ARI2 (YPB, YPH)
BS00070511_51	3A	88	NDPI (YPB), ARI2 (YPB)
IAAV1334	3A	88	NDPI (YPB), ARI2 (YPB)
TA001068-0306-w	3A	88	NDPI (YPB, YPH), ARI2 (YPB, YPH)
wsnp_BE406587A_Ta_2_1	3A	88	NDPI (YPB, YPH), ARI2 (YPB, YPH)
wsnp_Ex_c22766_31972202	3A	88	NDPI (YPB, YPH), ARI2 (YPB, YPH)
wsnp_Ex_c24293_33532428	3A	88	NDPI (YPB, YPH), ARI2 (YPB, YPH)
wsnp_Ex_c9468_15697512	3A	88	NDPI (YPB, YPH), ARI2 (YPB, YPH)
BS00040798_51	3A	89	NDPI (YPB, YPH), ARI2 (YPB)
BS00048031_51	3A	89	NDPI (YPB), ARI2 (YPB)
Excalibur_c29205_537	3A	89	NDPI (YPB, YPH), ARI2 (YPB, YPH)
Excalibur_c7181_813	3A	89	NDPI (YPB, YPH), ARI2 (YPB)
Excalibur_c854_1459	3A	89	NDPI (YPB, YPH), ARI2 (YPB)
Excalibur_rep_c76510_255	3A	89	NDPI (YPB, YPH), ARI2 (YPB, YPH)
Kukri_c101770_328	3A	89	NDPI (YPB, YPH), ARI2 (YPH)
Kukri_c82097_197	3A	89	NDPI (YPB, YPH), ARI2 (YPB)
RAC875_c10669_714	3A	89	NDPI (YPB), ARI2 (YPB)
wsnp_CAP11_c318_261649	3A	89	NDPI (YPB), ARI2 (YPB)
wsnp_Ex_c2331_4369782	3A	89	NDPI (YPB), ARI2 (YPB)
wsnp_Ex_c25668_34932560	3A	89	NDPI (YPB), ARI2 (YPB)
wsnp_Ex_rep_c66865_65262277	3A	89	NDPI (YPB), ARI2 (YPB)
wsnp_Ex_rep_c66865_65262612	3A	89	NDPI (YPB), ARI2 (YPB)
wsnp_Ex_rep_c66865_65263145	3A	89	NDPI (YPB), ARI2 (YPB)
wsnp_Ra_c10669_17515792	3A	89	NDPI (YPB), ARI2 (YPB)
wsnp_Ra_c29280_38672141	3A	89	NDPI (YPB, YPH), ARI2 (YPH)
wsnp_RFL_Contig4814_5829093	3A	89	NDPI (YPB, YPH), ARI2 (YPB)
BobWhite_c43681_334	3A	90	NDPI (YPB), ARI2 (YPB)
BS00110405_51	3A	90	NDPI (YPB), ARI2 (YPB)
GENE-3343_183	3A	90	NDPI (YPB), ARI2 (YPB)
IAAV8924	3A	90	NDPI (YPB), PRI (YPB)
Kukri_c25564_185	3A	90	NDPI (YPB), PRI (YPB), ARI2 (YPB)
RAC875_c842_1516	3A	90	NDPI (YPB), ARI2 (YPB)
RAC875_rep_c117959_132	3A	90	NDPI (YPB), PRI (YPB)
RFL_Contig1034_2351	3A	90	NDPI (YPB), ARI2 (YPB)
wsnp_Ex_c35073_43285821	3A	90	NDPI (YPB), PRI (YPB)
wsnp_JD_c2743_3678590	3A	90	NDPI (YPB), ARI2 (YPB)
wsnp_JD_c3034_4017676	3A	90	NDPI (YPB), ARI2 (YPB)
wsnp_Ku_c3286_6111360	3A	90	NDPI (YPB), ARI2 (YPB)
BS00000445_51	3A	101	CRI2 (YPH, YPH7)
BS00001478_51	3A	101	CRI2 (YPH, YPH7)

(Continued)

Marker	Chromosome	Position/cM	Associated traits
BS00061179_51	3A	101	CRI2 (YPH, YPH7)
BS00080879_51	3A	101	CRI2 (YPH, YPH7)
Excalibur_c39248_485	3A	101	CRI2 (YPH, YPH7)
wsnp_Ex_c26887_36107413	3A	103	CRI2 (YPH, YPH7), ARI2 (YPH)
BobWhite_c13704_244	3A	104	CRI2 (YPH, YPH7)
BS00056089_51	3A	104	NDPI (YPH), ARI2 (YPH), CRI2 (YPH7)
RAC875_rep_c109433_782	3A	104	CRI2 (YPH, YPH7)
BS00061173_51	3A	105	CRI2 (YPH, YPH7)
wsnp_Ex_c9483_15722127	3A	105	CRI2 (YPH, YPH7)
RAC875_rep_c72275_185	3B	132	NDPI (YPB), PRI (YPH)
Excalibur_c82684_66	4B	71	EVI (YPH7), OSAVI (YPH7)
RAC875_c103110_275	4B	71	EVI (YPH7), OSAVI (YPH7)
RAC875_c24550_1150	4B	71	EVI (YPH7), OSAVI (YPH7)
IACX3657	5A	43	NDVI_705 (YPB), PRI (YPB)
RAC875_c13931_205	5A	89	ARI2 (HSH), PRI (HSH)
RAC875_rep_c112818_870	5B	125	CRI2 (YPH), MTCI2 (YPH)
BobWhite_c13091_385	6B	71	NDVI_705 (HSH), AB ratio (HSH)
Ex_c100170_579	6B	71	NDVI_705 (HSH), AB ratio (HSH)
Excalibur_rep_c70364_129	6B	71	NDVI_705 (HSH), AB ratio (HSH)
IAAV1816	6B	71	NDVI_705 (HSH), AB ratio (HSH)

Note: WAMI, wheat association mapping initiative; NDVI, normalized difference vegetation index; MTCI, MERIS terrestrial chlorophyll index; NDPI, normalized difference pigment index; ARI, anthocyanin reflectance index; PRI, photochemical reflectance index; CRI, carotenoid reflectance index; EVI, enhanced vegetation index; OSAVI, optimized soil adjusted vegetation index; AB ratio, chlorophyll ratio.

PRI were reported on 2A, 3A, 4A, 6B, and 7A in winter wheat under irrigation<sup>[28]</sup>, while in this study, QTLs for PRI were identified on 3B, 4B, 5A, and 6A. Also, the marker wsnp Ex c8884 14841846 (3A, 105 cM) accociated with CRI2 under YP in this study was reported to associate with PRI under drought, rainfed, and irrigation conditions<sup>[28]</sup>. The markers wsnp Ex c22727 31934296 and wsnp Ex c7729 13177883 (5A, 89-91 cM) for DTH in this study were previously reported associated with SR and NDVI<sup>[28]</sup>. Among the SRIs, NDVI is the most used and consistent index for in-season selection and yield prediction<sup>[25,26]</sup>. The QTLs for NDVI 705 on 1B (60–62 cM) under YP and on 2B (27 cM) and 6B (71 cM) under HS were very close to the reported loci in durum wheat under drought stress<sup>[59]</sup>. The locus for NDVI 705 on 7B (152 cM) under HS was about 10 cM away from a NDVI QTL detected in a bi-parental population under heat<sup>[60]</sup>. Our study identified several genomic regions for SRIs under YP and HS, while few common loci for both environments were observed. These observations again confirmed that different stresses on crops at the same time, or at different times during the same growing season, may produce different metabolic and physiological responses<sup>[61]</sup>.

The WAMI population was widely used for GWAS

studies, and some loci identified in our analysis were located closely to previously reported QTLs for GY and yield components in the same population. For example, the locus on chromosome 1B for NDVI and ARI2 was 2 cM away from the adaptation to density locus (at 64 cM)<sup>[32]</sup>; the locus on 2A for EVI was co-located with that for TGW<sup>[54]</sup>; the locus on 4B for EVI and OSAVI was reported for spike dry weight<sup>[53]</sup>; the locus on 5A for PRI was previously reported for TGW<sup>[54]</sup>; the locus on chromosome 6A for PRI and NWI 2 was previously reported to be associated with GY, biomass, PH, and chlorophyll content under irrigated YP conditions<sup>[31]</sup>; and the locus on 7A for NPQI was previously identified for GY<sup>[54]</sup>. Also, the locus for ARI2 and PRI was co-located with DTH and PH on the Vrn-1 locus on 5A, verifying the common sense assumption that major developmental genes modulate key physiological processes.

BLAST results of the significant markers associated with multiple SRIs indicated that most of the candidate genes were related to transportation, metabolism, senescence, and abiotic and biotic resistance in plants (Table S3). For example, a transmembrane protein gene (*TraesCS5A01G052100.1*) was associated with NDVI\_705 and PRI. The senescence-associated family protein (DUF581) gene (*TraesCS3A01G289000.1*)

associated with NDPI and ARI2, was a FCS-Like Zinc finger gene regulated by sugars, cellular energy level, and abiotic stress<sup>[62]</sup>. A gene coding ABC transporter B family protein (TraesCS3A01G285200.1) was also associated with NDPI and ARI2, and this protein is a powerful transporter driving the exchange of compounds across biological membranes<sup>[63]</sup>. A blue copper protein gene (TraesCS4B01G271800.1) associated with EVI and OSAVI, can shuttle electrons from a protein acting as an electron donor to another acting as an electron acceptor in photosynthesis<sup>[64]</sup>. A Myb transcription factor gene (TraesCS3A01G367600.1) associated with NDPI, ARI2, and CRI2 was involved in controlling various processes, such as responses to biotic and abiotic stresses, development, differentiation, metabolism, and defense in plants<sup>[65]</sup>. A candidate gene (*TraesCS3A01G009200.1*) associated with NDVI 705 and MCI2 was characterized as a disease resistance gene encoding the NBS-LRR-like resistance protein<sup>[66]</sup>.

Although our measurements cover phenological stages that are highly relevant for adaptation to stress conditions, further measurements of SRIs after anthesis would be needed to fully understand their relationships with yield. Nevertheless, our results have great potential value since the SRIs reported here were analyzed in elite populations under HS and in terms of their genomic regions for the first time. Further research supporting these findings in similar environments using different germplasm will help to reinforce our results. Analysis of the same genomic regions under different severity of HS will provide useful data for breeders and physiologists to help underpin the genomic bases of the traits. Additionally, the exploration of these traits under drought conditions, or combined heat and drought conditions would enable the identification of commonalities between different stresses.

# 5 Conclusions

Spectral reflectance indices are valuable tools to screen agronomic and physiological traits in large populations. In this study, we identified EVI as the SRI that was correlated with GY under YP condition at the booting, heading, and heading plus 7 days stages. PRI and NDVI were efficient under HS at booting and heading stages. Several SRIs showed high heritability estimates and marker-trait associations were identified for them. This information can be further used in developing molecular markers for selecting high yielding lines under YP and HS conditions.

**Supplementary materials** The online version of this article at https://doi.org/10.15302/J-FASE-2019269 contains supplementary materials (Tables S1–S4).

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Compliance with ethics guidelines Caiyun Liu, Francisco Pinto, C. Mariano Cossani, Sivakumar Sukumaran, and Matthew P. Reynolds declare that they have no conflicts of interest or financial conflicts to disclose. This article does not contain any studies with human or animal subjects performed by any of the authors.

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