



## Views &amp; Comments

# Coordinated Control of Fine-Particle and Ozone Pollution by the Substantial Reduction of Nitrogen Oxides



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## 1. Introduction

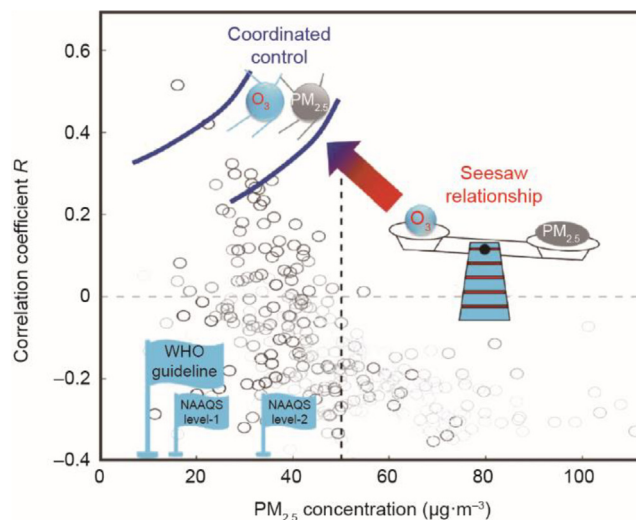
In recent years, the air quality in China has improved significantly. In many cities, however, the concentration of fine particulate matter (PM<sub>2.5</sub>) remains higher than the secondary-level national ambient air quality standard (NAAQS level-2, 35 μg·m<sup>-3</sup>, GB3095–2012 [1]) and much higher than the first-level NAAQS (15 μg·m<sup>-3</sup>, GB3095–2012 [1]) and the World Health Organization (WHO) air quality guidelines (5 μg·m<sup>-3</sup>). In addition, heavy haze pollution still occurs, especially in wintertime. The concentration of ozone (O<sub>3</sub>) has increased in many regions of China. Indeed, O<sub>3</sub> has become the major air pollutant after PM<sub>2.5</sub>, especially in summertime. Thus, the coordinated control of PM<sub>2.5</sub> and O<sub>3</sub>, as part of the 14th Five-Year Plan for Economy and Social Development of the People's Republic of China, remains a considerable challenge.

## 2. Substantial reduction of nitrogen oxides for the effective coordinated control of PM<sub>2.5</sub> and O<sub>3</sub>

### 2.1. Negative correlation between PM<sub>2.5</sub> and O<sub>3</sub>

Highly complex interactions occur under elevated concentrations of both PM<sub>2.5</sub> and O<sub>3</sub>, which affect radiation flux, quench free radicals, and drive oxidation, among other examples. In most regions of China with high PM<sub>2.5</sub> levels, especially in northern China, the temporal variations of PM<sub>2.5</sub> and O<sub>3</sub> (i.e., the hourly average concentrations) are negatively correlated in both wintertime and summertime. The same thing has occurred in the interannual variations of PM<sub>2.5</sub> and O<sub>3</sub> in recent years [2]. This relationship has only disappeared in a few regions of southern China, such as the Pearl River Delta, where the concentration of PM<sub>2.5</sub> is close to NAAQS level-2, which is also the WHO first-stage interim target. In every city of China, PM<sub>2.5</sub> and O<sub>3</sub> vary in opposite directions, unless the concentration of PM<sub>2.5</sub> falls below a certain threshold

(e.g., 50 μg·m<sup>-3</sup>) [2], as indicated by the correlation coefficients of PM<sub>2.5</sub> and O<sub>3</sub> in Fig. 1. Therefore, reducing the concentration of PM<sub>2.5</sub> to the threshold value in order to disrupt the negative correlation is an essential precondition for the coordinated control of PM<sub>2.5</sub> and O<sub>3</sub>.



**Fig. 1.** Disrupting the negative correlation (a “seesaw relationship”) between PM<sub>2.5</sub> and O<sub>3</sub> to achieve coordinated control of both pollutants. Circles mark the PM<sub>2.5</sub> concentration and the correlation coefficient between PM<sub>2.5</sub> and O<sub>3</sub> in individual cities in China. In the right region of the figure, where the concentration of PM<sub>2.5</sub> is high (e.g., > 50 μg·m<sup>-3</sup>), the correlation coefficient between PM<sub>2.5</sub> and O<sub>3</sub> is always negative in these cities. In comparison, in the upper left region of the figure, where the concentration of PM<sub>2.5</sub> is low (e.g., < 50 μg·m<sup>-3</sup>), the correlation coefficient between PM<sub>2.5</sub> and O<sub>3</sub> can be positive, so coordinated control of both pollutants is possible in these cities. Detailed information on the methods and data sources used to calculate the correlation coefficients can be found in our previous study [2]. Reproduced from Ref. [2] with permission of American Chemical Society, © 2020.

### 2.2. Reduction of nitrogen oxides for the effective control of PM<sub>2.5</sub>

From 2013 to 2019, the concentrations of PM<sub>2.5</sub> and sulfur dioxide (SO<sub>2</sub>) in 74 key Chinese cities decreased by 47% and 75%, respectively, while the concentration of nitrogen dioxide (NO<sub>2</sub>) only decreased by 23% [3]. Thus, nitrogen oxides (NO<sub>x</sub>) emissions remain poorly controlled, which limits further decreases in PM<sub>2.5</sub>. NO<sub>x</sub> convert to nitrate, which is the most abundant chemical component of PM<sub>2.5</sub> in many cities [4]. Furthermore, high concentrations of NO<sub>x</sub> result in a high atmospheric oxidation capacity for heterogeneous and aqueous phase reactions [5–7], which is an important driving force for the growth of PM<sub>2.5</sub>. According to the analysis of the observational data from the China National Environmental Monitoring Center (CNEMC), the sensitivity of PM<sub>2.5</sub> to NO<sub>2</sub> is much higher than its sensitivity to SO<sub>2</sub> (Fig. 2). For example, in China, research has indicated that a reduction of 1 μg·m<sup>-3</sup> NO<sub>2</sub> will reduce PM<sub>2.5</sub> much more than a reduction of 1 μg·m<sup>-3</sup> SO<sub>2</sub> [2]. In 2020, the concentration of NO<sub>2</sub> decreased significantly during the coronavirus disease 2019 (COVID-19) lockdown [8]. Although the concentrations of SO<sub>2</sub> and carbon monoxide (CO) did not markedly decrease, the concentration of PM<sub>2.5</sub> decreased significantly and showed highly similar spatial and temporal distribution characteristics with the decrease in NO<sub>2</sub> [8]. This finding indicates the high effectiveness of NO<sub>x</sub> reduction in PM<sub>2.5</sub> control.

### 2.3. The feasibility of substantial NO<sub>x</sub> reduction for the coordinated control of O<sub>3</sub>

From 2013 to 2019, the concentration of O<sub>3</sub> in 74 key Chinese cities increased by 29% [3]. Atmospheric O<sub>3</sub> is mainly generated via photochemical reactions between NO<sub>x</sub> and volatile organic compounds (VOCs) and is mainly a problem during summer conditions. As a result, controlling O<sub>3</sub> requires the control of these two main precursors. There is a significant nonlinear relationship between O<sub>3</sub> and the emissions of NO<sub>x</sub> and VOCs [3,9]. Although O<sub>3</sub> formation is usually controlled by NO<sub>x</sub> concentration on a regional scale, it is sensitive to VOCs in most urban areas of China. However, it is usually very difficult to achieve a substantial emission reduction of VOCs in a short time. VOCs emitted by biogenic sources are natural processes and are difficult to regulate.

Moreover, anthropogenic emissions of VOCs are highly complex and dispersed, so their effective control remains a great challenge. In a VOC-controlled O<sub>3</sub> formation regime, a slight reduction in NO<sub>x</sub> emissions may cause an increase in O<sub>3</sub>, whereas a substantial reduction in NO<sub>x</sub> will change the VOC-controlled regime to a NO<sub>x</sub>-controlled regime and thus effectively reduce O<sub>3</sub>. In the United States, the historical control of O<sub>3</sub> supports the reduction of NO<sub>x</sub> emissions: In the early stages, the California Government initially attempted to control VOCs, but the effect on O<sub>3</sub> was limited; after increasing NO<sub>x</sub> emission control efforts, however, O<sub>3</sub> pollution gradually decreased [10], indicating the key role of reducing NO<sub>x</sub> in O<sub>3</sub> pollution control [9]. The change in air quality during the COVID-19 lockdown in early 2020 in China also provides solid evidence. During the initial lockdown, the slight decrease in NO<sub>2</sub> and PM<sub>2.5</sub> concentrations resulted in an obvious increase in O<sub>3</sub> concentrations. However, under the strictest lockdown conditions, the NO<sub>2</sub> concentration decreased by about 70% in some regions of China, which resulted in a significant reduction in PM<sub>2.5</sub> and a cessation of the increase in O<sub>3</sub> [8]. These results indicate that strengthening the reduction of NO<sub>x</sub> emissions is an effective and feasible measure for the coordinated control of PM<sub>2.5</sub> and O<sub>3</sub> in China. This conclusion is also supported by modeling studies. For example, in a case study in the Beijing–Tianjin–Hebei (BTH) region, a reduction in NO<sub>x</sub> emissions of greater than 60% was proposed in order to ensure the effectiveness of PM<sub>2.5</sub> and O<sub>3</sub> control [11]. Recently, WHO updated the global air quality guidelines. The new guidelines recommend a much lower level (10 μg·m<sup>-3</sup>) for NO<sub>2</sub> concentration than 2005 guidelines (40 μg·m<sup>-3</sup>). The updates indicate an international consensus on substantial NO<sub>x</sub> reduction for further improvement of air quality and protection of human health.

### 3. Nitrogen oxides: Key pollution precursors with high reduction potential

NO<sub>x</sub> are the key precursors of PM<sub>2.5</sub> and O<sub>3</sub> pollution and demonstrate high reduction potential in China. They are mainly emitted from stationary combustion plants and internal combustion engines of transportation vehicles. Therefore, reducing NO<sub>x</sub> emissions is also highly consistent with the current carbon peaking

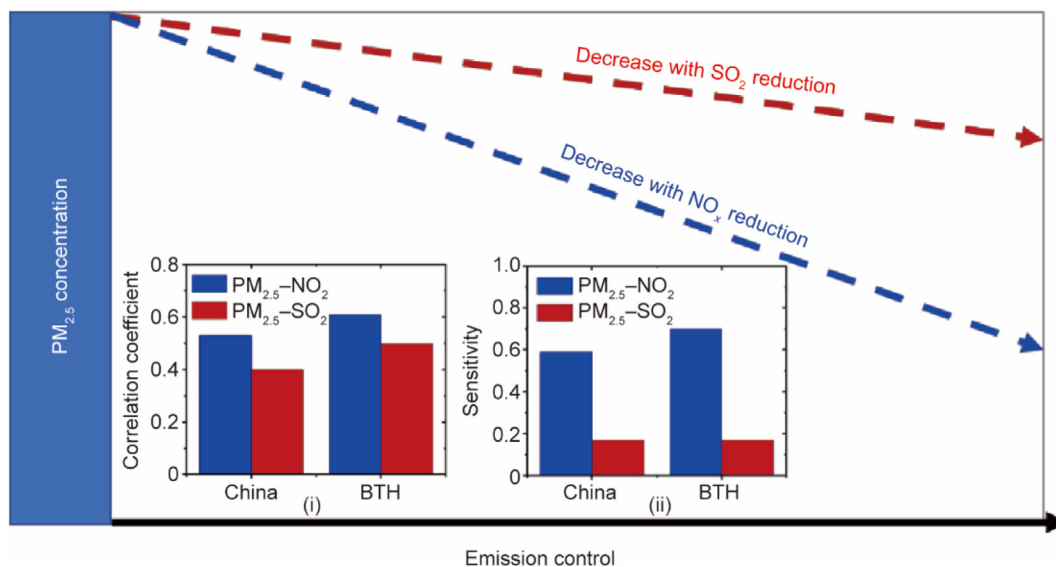


Fig. 2. The emission control strategy in China and Beijing–Tianjin–Hebei (BTH) region. (i) Correlations between PM<sub>2.5</sub> and NO<sub>2</sub> and SO<sub>2</sub>; (ii) sensitivity of PM<sub>2.5</sub> to NO<sub>2</sub> and SO<sub>2</sub>. The sensitivity of PM<sub>2.5</sub> to NO<sub>2</sub> and SO<sub>2</sub> is the increase or decrease of PM<sub>2.5</sub> (μg·m<sup>-3</sup>) with NO<sub>2</sub> and SO<sub>2</sub> concentration changes of 1 μg·m<sup>-3</sup>, respectively.

and carbon neutralization policies. At present, the selective catalytic reduction (SCR) of  $\text{NO}_x$  by  $\text{NH}_3$  ( $\text{NH}_3$ -SCR) technique is widely applied in coal-fired power plants, satisfying the ultra-low  $\text{NO}_x$  emission limit [12]. However, for  $\text{NO}_x$  reduction in non-electric industries, such as the steel, nonferrous metal, cement, glass, and ceramic industries, the  $\text{NH}_3$ -SCR technique requires improvement, such as low-temperature activity and a tolerance to sulfur. Thus, different denitrification technologies need to be developed.

Meanwhile, remarkable progress has been made in  $\text{NO}_x$  reduction from automotive exhausts [13]. For example, using a three-way catalytic technique,  $\text{NO}_x$ , hydrocarbons, and CO can be efficiently removed from gasoline vehicle exhausts. The SCR of  $\text{NO}_x$  by urea (urea-SCR) has also been successfully developed for diesel vehicle  $\text{NO}_x$  emission control [14]. Under real driving conditions, however,  $\text{NO}_x$  emissions from diesel vehicles equipped with the urea-SCR system often exceed the standard limits. Firstly, the messages of on-board diagnostic (OBD) systems regarding  $\text{NO}_x$  emissions could be artificially shielded. Of course, this illegal situation is being corrected through the technical means of online monitoring. Secondly, certain diesel vehicles (e.g., buses and garbage trucks) often run at low speed. In this case, the urea-SCR system cannot operate efficiently. At present, urea-SCR catalyst with wide temperature window is being developed to solve this technical problem. Finally, if an unqualified urea solution (commonly known as AdBlue) is used in the SCR system to reduce cost—for example, urea solution with a low concentration of urea and/or containing impurities—it results in  $\text{NO}_x$  emissions that exceed the standard limits. In addition, the domestic technologies for engine control systems and high-pressure common rail injection systems are still relatively undeveloped, which further impedes the implementation of China VI emission standards for diesel heavy-duty vehicles and the formulation of the next stage of emission standards. Thus, the actual effectiveness of  $\text{NO}_x$  reducing technology is still far from perfect, leaving considerable room for improvement. Furthermore, China's targets are to achieve peak carbon dioxide emissions before 2030 and carbon neutrality by 2060. These targets require a great change in the energy structure, which will fundamentally solve the  $\text{NO}_x$  emission problem in the future. For example, the number of electric vehicles is increasing rapidly in China. With electricity being generated from sustainable energy, a significant reduction in both  $\text{NO}_x$  and VOC emissions from vehicles can be expected [9].

#### 4. Suggestions for substantial reductions in $\text{NO}_x$

##### 4.1. Accelerating research and development of high-efficiency $\text{NO}_x$ control technologies for various industrial furnaces

To achieve ultra-low  $\text{NO}_x$  emissions in industries other than thermal power plants, great effort should be devoted to the development of high-efficiency denitrification technologies with low cost and the promotion of large-scale application. These technologies include low-/intermediate-temperature SCR technologies with high efficiency and stability [15] and selective non-catalytic reduction (SNCR) combined with SCR and oxidative denitrification [16]. Moreover, to achieve impactful abatement of  $\text{NO}_x$  and the simultaneous reduction of carbon dioxide ( $\text{CO}_2$ ) emissions, embedded denitrification technologies that suit the temperature distribution inside furnaces should be developed, which would help to reduce energy input (e.g., coal, gas, and electricity). In addition, for the simultaneous reduction of  $\text{NO}_x$  and  $\text{CO}_2$  emissions in industries with long and multiple manufacturing processes, such as the steel and nonferrous metal industries, the industrial structure should be upgraded by developing clean and short-process smelting, as well as by conducting comprehensive process optimization.

##### 4.2. Formulating ultra-low emission limits and strengthening assessment and supervision for key industries

The formulation of ultra-low emission limits and the development of technological guidelines for  $\text{NO}_x$  control are urgently needed for non-electric industries such as building materials and nonferrous metals, according to their flue gas characteristics. Comprehensive evaluation after the implementation of ultra-low emission technologies in the iron and steel industry also needs to be strengthened, and techno-economic analysis of different ultra-low  $\text{NO}_x$  emission technologies in such industries should be emphasized [17,18]. In addition, clarifying the benefits and shortcomings of such technologies should provide an important reference for proposing and improving ultra-low  $\text{NO}_x$  emission technologies and schemes suitable for different industries. Finally, in combination with China's economic development and energy consumption trends, it is necessary to predict total  $\text{NO}_x$  emissions and their distribution by industry in the future, and to evaluate the reduction potential of  $\text{NO}_x$  emissions in various industries and the environmental impacts induced by  $\text{NO}_x$  reduction.

##### 4.3. Promoting the research and development of key technologies for diesel engine emission control, and enhancing joint management and control of vehicle fuel

Firstly, efforts must be made to advance engine control systems, high-pressure common rail injection systems, turbocharger systems, and after-treatment catalysts and to establish independent and controllable diesel engine emission control technology chains [19]. Secondly, the next stage of national emission standards for diesel heavy-duty vehicles, off-road diesel engines, and vessels should be formulated as soon as possible. The implementation of these standards should encourage the adoption of new technologies to further reduce diesel engine exhaust emissions. Furthermore, more stringent standards for diesel, additives, AdBlue, and lubricating oil should be formulated and implemented to ensure the efficient and stable operation of diesel engines. Thirdly, the research and development of remote online diagnostic systems, remote sensing, and portable emission measurement technologies should be promoted. By integrating these advanced technologies, an intelligent digitized system can be developed for the supervision and management of in-use vehicle emission control. With this complete system, the closed-loop control of remote real-time vehicle emission monitoring, inspection, and maintenance can be achieved.

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